

# SERENA & LILY

## 401(k) WAIVER OF PARTICIPATION

(TO BE COMPLETED BY ELIGIBLE PLAN PARTICIPANTS)

\_\_\_\_\_  
Participant Name (Please Print)

\_\_\_\_\_  
Social Security Number

\_\_\_\_\_ I **do not** wish to participate in the 401(k) plan at this time. I understand that I may elect to participate in the 401(k) plan in the future during open enrollment.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**This form must be completed and returned by Effective Date.**

Fax: 415-331-1435

Or Mail to:  
Serena & Lily, Inc.  
Attn: Lisa Johnson, Controller  
10 Liberty Ship Way  
Sausalito, Ca 94965

For questions regarding this form, please contact Lisa Johnson, your Plan Administrator at 415-289-9018.