



Enrollment Form Serena & Lily, Inc. 401(k) Plan

Participant Information

Participant Name (First, MI, Last) Social Security Number Birth Date

Address (Number, Street, Apt No.), City, State, Zip Home Phone

E-mail Sex: Male Female Marital Status (Married, Single, Divorced, Widowed)

Company Name Hire Date Work Phone

Enrollment Election

- I elect to have none of my salary deferred into the plan at this time.
- I elect the following **Pre-tax Salary Deferral**: _____% or \$_____ per pay period.
- I elect the following **Roth Salary Deferral**: _____% or \$_____ per pay period.

Investment Elections

Elections made on this Investment Form will be used to reallocate a participant's current account balance as well as set future contributions.

Single Investments

To create a custom investment portfolio, enter the desired allocation percentages for each in the space provided. Investment elections must equal 100%.

____%	DFA EMERGING MARKETS	____%	VANGUARD SMALL-CAP INDEX FUND/SIGNAL
____%	DFA GLOBAL 25/75 PORTFOLIO	____%	VANGUARD TARGET RETIREMENT 2020
____%	DFA GLOBAL 60/40 PORTFOLIO	____%	VANGUARD TARGET RETIREMENT 2025
____%	DFA GLOBAL EQUITY PORTFOLIO	____%	VANGUARD TARGET RETIREMENT 2030
____%	DFA INFLATION PROTECTED SECURITIES	____%	VANGUARD TARGET RETIREMENT 2035
____%	DFA US SOCIAL CORE EQUITY 2 PORTFOLIO	____%	VANGUARD TARGET RETIREMENT 2040
____%	PARNASSUS EQUITY INCOME INST	____%	VANGUARD TARGET RETIREMENT 2045
____%	VANGUARD MID-CAP INDEX FUND/SIGNAL	____%	VANGUARD TARGET RETIREMENT 2050
____%	VANGUARD PRIME MONEY MARKET FUND	____%	VANGUARD TARGET RETIREMENT 2055
____%	VANGUARD REIT INDEX FUND/SIGNAL	____%	VANGUARD TARGET RETIREMENT 2060
____%	VANGUARD S&P 500 INDEX SIGNAL	____%	VANGUARD TOTAL BOND MRKT IDX FND/SIGNAL
____%	VANGUARD TOTAL INTL STOCK INDEX SIGNAL	____%	TOTAL (election percentages must equal 100%)

Participants who do not provide investment elections will be defaulted into the **DFA Global Allocation 60/40 (Moderate)** for Participants <50 or **DFA Global Allocation 75/25 (Conservative)** for Participants ≥50. For account access and to make changes to investment elections visit www.newportgroup.com or call Client Services at (888) 886-8256, Monday-Friday, 7:30am-5:30pm Pacific Time.

Authorization

I, the undersigned, acknowledge and consent to the enrollment elections indicated above and understand the risks of investing.

Participant Signature

Date